DT07 Rec'd PCT/PTO 28 JAN 2005

TRANSMITTAL LETTER TO THE UNITED STATES

Attorney Docket No.

| | 1 | DESIGNATED/ELECTE | D OFFICE (DO/FO/US) | 8007-1087 | | | | | | | | |
|---|---|--|--|-----------------------|--|--|--|--|--|--|--|--|
| | | CONCERNING A FILING | U.S. Application No. 5 22 7 9 1 | | | | | | | | | |
| INTERNATIONAL APPLN: NO. | | | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | | | |
| | | PCT/JP03/16186 | December 17, 2003 | April 23, 2003 | | | | | | | | |
| | TITLE OF INVENTION: NEGATIVE ELECTRODE FOR NONAQUEOUS ELECTROLYTE SECONDARY BATTERY, METHOD FOR MANUFACTURING SAME AND NONAQUEOUS ELECTROLYTE SECONDARY BATTERY | | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US: Kiyotaka YASUDA, Yoshiki SAKAGUCHI, Shinichi MUSHA, Makoto DOBASHI, Akihiro | | | | | | | | | | | | |
| MODEKI, Tomoyoshi MATSUSHIMA, Hitohiko HONDA and Takeo TAGUCHI | | | | | | | | | | | | |
| Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | | |
| 1. [| \boxtimes | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | |
| 2. [| | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | |
| 3. [| \boxtimes | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). | | | | | | | | | | |
| | | The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | |
| 4. [| \boxtimes | The US has been elected (Article 31). | | | | | | | | | | |
| 5. [| \boxtimes | A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | | | | | | | | |
| á | а. ՝ | Mark the later of the death of the decree of the later notional Burgon Visit 2 Bargon Only | | | | | | | | | | |
| . 1 | 5 . | | | | | | | | | | | |
| (| С. | is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | | |
| 6. (| \boxtimes | An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | | | | | | | | |
| á | a. | is attached hereto. | • | | | | | | | | | |
| ı | b. | has been previously subm | nitted under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 7. [| | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) | | | | | | | | | | |
| á | a. | are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | |
| ŀ | b. | have been communicated by the International Bureau. | | | | | | | | | | |
| (| c. | have not been made, however, the time limit for making such amendments has NOT expired. | | | | | | | | | | |
| (| d. | have not been made and will not be made. | | | | | | | | | | |
| 8. [| | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | | | | | | |
| 9. | \Box | An oath or declaration of the i | nventor(s) (35 U.S.C. 371(c)(4 | | | | | | | | | |
| 10. | | A Declaration of Inventorship for purposes of U.S.A. designation pursuant to rule 4.17(iv).)) | | | | | | | | | | |
| 11. | | An English language translation of the annexes of the International Preliminary Examination Report | | | | | | | | | | |
| | | under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | | |
| ı | lter | ns 11 to 20 below concern de | ocument(s) or information included: | | | | | | | | | |
| 12. | \boxtimes | Information Disclosure Statem | nent (IDS) w/PTO-1449 - 🛛 Copy of ID | S citations. | | | | | | | | |
| 13. | | Assignment Papers (cover she | eet & document(s)). | | | | | | | | | |
| 14. | \boxtimes | A preliminary amendment. | | • | | | | | | | | |
| 15. | \boxtimes | An Application Data Sheet under 37 C.F.R. 1.76. | | | | | | | | | | |
| 16. | \boxtimes | Itemized Return Receipt Postcard | | | | | | | | | | |
| 17. | | A substitute specification. | | | | | | | | | | |
| 18. | | Power of Attorney and Statement under 37 CFR §3.73(b) | | | | | | | | | | |
| | | a. | | | | | | | | | | |
| | | b. A change of Power of | Attorney and/or change of address letter | er. | | | | | | | | |

21. A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)). 22. M Other items or information: International Search Report (PCT/ISA/210), Abstract of the Disclosure

19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2

20. A second copy of the published international application under 35 U.S.C. 154(d)(4).

and 37 CFR 1.821 - 1.825.

| U.S. AP | PLICATIO | 572 | 791 | | RNATIONAL AF JP03/16186 | PLN. NO. | ATTORNE 8007-1087 | Y DOCKET N | О. | | |
|--|--------------|-------------|-------------|-------|----------------------------|-----------------|------------------------|------------------------------|-------|--|--|
| Applicant claims small entity status. See 37 CFR 1.27. | | | | | | | | CALCULATIONS PTO USE ONLY | | | |
| ☑ The following fees are submitted: | | | | | | | | | LONET | | |
| PCT FEES - NATIONAL STAGE | | | | | | | | | | | |
| Large Entity Small Entity | | | | | | | | | | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | Fee [| | | | | | |
| 1631 | 300.00 | 2631 | 150.00 | Basic | National Stage | \$300.00 | | | | | |
| 1632 | 500.00 | 2632 | 250.00 | Natio | onal Stage Searc | \$500.00 | | | | | |
| 1633 | 200.00 | 2633 | 100.00 | | onal Stage Exam | \$200.00 | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than \(\text{ \subset} \) 20- \(\text{ \subset 30} \) \$130.00 months from the earliest claimed priority date (Fee Code 1617/2617) | | | | | | | | | | | |
| | | | 0 | - 10 | Additional Sheets | | | \$0.00 | | | |
| Indepen | dent Claim | CLAIMS | | 2614 | NUMBER FILED 1 - 3 = | NUMBER EXTRA | RATE x \$100.00 | \$0.00 | | | |
| Total Cla | | | des 1615 / | | 26 - 20 = | 6 | x \$50.00 | \$300.00 | | | |
| MULTIP | LE DEPEN | \$0.00 | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).Fee Code 1618 | | | | | | | | | | | |
| Fee for I | recording th | \$0.00 | | | | | | | | | |
| | | - | | | TOTAL FE | ES ENCLOSED | = | \$1,430.00 Amount to be | \$ | | |
| | | | | | | | | refunded: Charged: | | | |
| | - ·· ··- | | • | | | | | Charged. | \$ | | |
| \boxtimes | A check i | in the amo | ount of \$1 | ,430. | 00 to cover the | above fees is a | attached. | | | | |
| The Director is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account No. 25-0120 in the name of Young & Thompson. A duplicate copy of this sheet is enclosed. | | | | | | | | | | | |
| The Director is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17. | | | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: YOUNG & THOMPSON 745 South 23 rd Street Arlington, VA 22202 SIGNATURE | | | | | | | | | | | |
| Telephone: (703) 521-2297 Benoit Castel, Reg. No. 35,041 Facsimile: (703) 685-0573 NAME, REGISTRATION NUMBER | | | | | | | | | BER | | |
| Y&T Customer No. 00466 January 28, 2005 | | | | | | | | | | | |